

APPENDIX 2 – FOLLOW UP UPDATE

Internal Audit Interim Report – 2022-23

Audit and Corporate Governance Committee 17th November 2022

Introduction: This appendix gives details of the results of follow up of recommendations. It provides an indication of the level of implementation of audit recommendations.

Summary of Follow-up Update

Follow up implementation rate key:

Fully implemented = 100% of recommendations have been closed

Good = progress has been made on 80%+ of recommendations

Moderate = progress has been made on 60%-79% of recommendations

Limited = progress has been made on fewer than 59% of recommendations

Original Audit Review			Follow up Activity	
Ref	Audit title	Original Assurance rating	Follow-up implementation rate	Status at 31 st October 2022
CC20-2	Keeping Young People Safe	Moderate Assurance	This will be presented to Committee upon completion of follow-up.	Follow-up is in progress, anticipated completion, November 2022.
CS20-2	Continuous Audit Monitoring – Accounts Payable	Moderate Assurance	This will be presented to Committee upon completion of follow-up.	Follow-up is planned for December 2022. Anticipated completion, Q4 22-23.
CS20-4	Payroll Post Oracle Cloud Implementation	Limited Assurance	This will be presented to Committee upon completion of follow-up.	Follow-up is planned for December 2022. Anticipated completion, Q4 22-23.
CS20-6	Data Quality and Integrity	Moderate Assurance	This will be presented to Committee upon completion of follow-up.	Based on the agreed implementation dates, the follow-up is not due until Q1 2023-24.
SC20-4	Tenancy Management Organisations (TMO) – Chalk Farm Housing Group	No Assurance	This will be presented to Committee upon completion of follow-up.	The follow-up began in July 2022. However it was postponed until November 2022 owing to resource constraints at the TMO. Anticipated completion, December 2022
SC20-08	Climate Change Programme – Governance, Risk Management and Reporting	Moderate Assurance	This will be presented to Committee upon completion of follow-up.	Based on the agreed implementation dates, the follow-up is not due until Q1 2023-24.

CC21 -1	Equalities, Diversity and Inclusion	Moderate Assurance	This will be presented to Committee upon completion of follow-up.	Based on the agreed implementation dates, the follow-up is not due until Q4 2022-23.
CC21 -2	Valuations - Property, Plant and Equipment	Limited Assurance	Moderate progress	The follow up was completed in November 2022 and noted moderate progress towards the implementation of recommendations. A further follow up of three partially implemented recommendations will be conducted in Q4 22-23 (based on revised implementation dates of 31/12/22).
CC21 -3	Community Investment Programme and Disposals	Moderate Assurance	This will be presented to Committee upon completion of follow-up.	Based on the agreed implementation dates, the follow-up is not due until Q4 2022-23.
CS21 -4	IT Review - Cyber/Network Security	Limited Assurance	This will be presented to Committee upon completion of follow-up.	Based on the agreed implementation dates, the follow-up is not due till Q1 2023-24, following which outcomes will be reported to Committee.
SC21 -2	Community Infrastructure Levy (CIL) Funding	Limited Assurance	This will be presented to Committee upon completion of follow-up.	Based on the agreed implementation dates, the follow-up is not due until 2023-24.
SC21 -3	Fire Safety (Private Landlord)	Moderate Assurance	This will be presented to Committee upon completion of follow-up.	Based on the agreed implementation dates, the follow-up is not due until Q4 2022-23.

SP21 -7	Camden Accessible Travel Solutions (CATS)	Limited Assurance	Good progress	The follow up was completed in Q1 22-23. A further follow up of partially implemented recommendations is currently underway. Anticipated completion, December 2022
SP21 -1	Mosaic – key controls review	Limited Assurance	This will be presented to Committee upon completion of follow-up.	The follow-up is in progress. Anticipated completion, December 2022.

APPENDIX ENDS